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Express Mail No.: EL 071 856 666 US**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Siegall et al.

Serial No.: 09/328,296

Examiner: To be assigned

Filed: June 8, 1999

Group Art Unit: 1642

For: RECOMBINANT ANTI-CD40 ANTIBODY AND
USES THEREOF Attorney Docket No.: 9632-005**INFORMATION DISCLOSURE STATEMENT**
UNDER 37 C.F.R. § 1.56 and § 1.97Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 and § 1.97 to inform the Patent Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of any claim of the application, Attorneys for Applicants hereby direct the Examiner's attention to the references (AA-BH) listed on the attached revised form PTO 1449 entitled "List of References Cited by Applicant". Copies of references AA-BH are submitted herewith.


Identification of the listed references is not to be construed an admission of Applicants or Attorneys for Applicants that such references are available as "prior art" against the subject application. Consequently, Applicants respectfully decline to use form PTO-1449, since this form identifies all of the references cited therein as "Prior Art". As an alternative, Applicants submit herewith several pages of a "revised form PTO 1449" entitled "List of References Cited" instead of "List of Prior Art Cited".

Pursuant to 37 C.F.R. § 1.97(b)(3), since Applicants believe that this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits, no fee is due. However, should the Patent Office determine otherwise, please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A duplicate of this sheet is enclosed for accounting purposes.

Applicants respectfully request that the Examiner review the foregoing references and that the references be made of record in the file history of the application.

Respectfully submitted,

Date: September 30, 1999


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(Reg. No.)

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LIST OF REFERENCES CITED BY APPLICANT <i>(Use several sheets if necessary)</i>					ATTY. DOCKET NO.		APPLICATION NO.	
					9632-005		09/328,296	
					APPLICANT			
					Siegall et al.			
					FILING DATE		GROUP	
					06/08/99		1642	

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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,182,368	01/26/93	Ledbetter et al.	530	388.73	
	AB	5,674,492	10/07/97	Armitage et al.	424	144.1	
	AC	5,677,165	09/16/97	de Boer et al.	242	588.2	

FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO
	AD	WO 96/18413		PCT			

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EXAMINER		DATE CONSIDERED
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609 ; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		

